

Notarization

I have read the preceding information and it is true to the best of my knowledge and belief.

[Signature]
Complainant's signature

3/13/2017
Date

[Signature]
Notary's official signature

12/17/2020
Commission expiration date

Seal

ROBERT J GARCIA
NOTARY PUBLIC
STATE OF COLORADO
NOTARY ID 19964021684
MY COMMISSION EXPIRES DECEMBER 17, 2020

Please attach copies of any documentation or other relevant information.



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ANGELA LAWSON

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User ID: KENNEDYC

Lobbyist ID: 20017000749

Status EXPIRED 06/30/2016

Name: Colorado Communique

Reporting Status CURRENT

Registration Date 07/16/2015

Lobbyist

Firm Name: Colorado Communique
Firm: Colorado Communique
Address: PO Box 460956
City: DENVER State: CO Zip/Postal Code: 80246
Phone: 303-888-5427
Email: COLLON@COLORADOCOMMUNIQUE.COM

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- Clients
- Cumulative Disclosure Report
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[Previous Page](#)

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Welcome
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is acting as a proxy for
Colorado
 Communique

Lobbyist Fines

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Lobbyist ID: 20017000749
 Lobbyist Name: Colorado Communique
 PO Box 460955
 DENVER CO 80246
 United States

Registration Status: EXPIRED 06/30/2016

Report Status: CURRENT

All late reports are subject to a penalty of \$20 each day for the first 10 business days the disclosure statement has not been filed. On the 11th business day, and each day thereafter that the disclosure statement has not been filed, a \$50 penalty will be imposed (see 24-6-302 (III) (7)).

Accumulated Fines as of 03/22/2017

Total Fine Amount: \$7,460 00

Found 12 matching record(s). Viewing page 1 of 1.

Report Date	Report Type	Due Date	Filed Date	Fine Amount
07/2015	Monthly	08/17/2015	09/08/2015	\$600 00
08/2015	Monthly	09/15/2015	11/06/2015	\$2,050 00
09/2015	Monthly	10/15/2015	11/06/2015	\$550 00
10/2015	Monthly	11/16/2015	12/14/2015	\$800 00
12/2015	Monthly	01/15/2016	02/04/2016	\$350 00
01/2016	Monthly	02/16/2016	03/06/2016	\$450 00
02/2016	Monthly	03/15/2016	04/06/2016	\$550 00
03/2016	Monthly	04/15/2016	05/09/2016	\$640 00
04/2016	Monthly	05/16/2016	05/24/2016	\$120 00
05/2016	Monthly	06/15/2016	07/20/2016	\$1,230 00
06/2016	Monthly	07/15/2016	07/20/2016	\$60 00
2016	Cumulative	07/15/2016	07/20/2016	\$60 00

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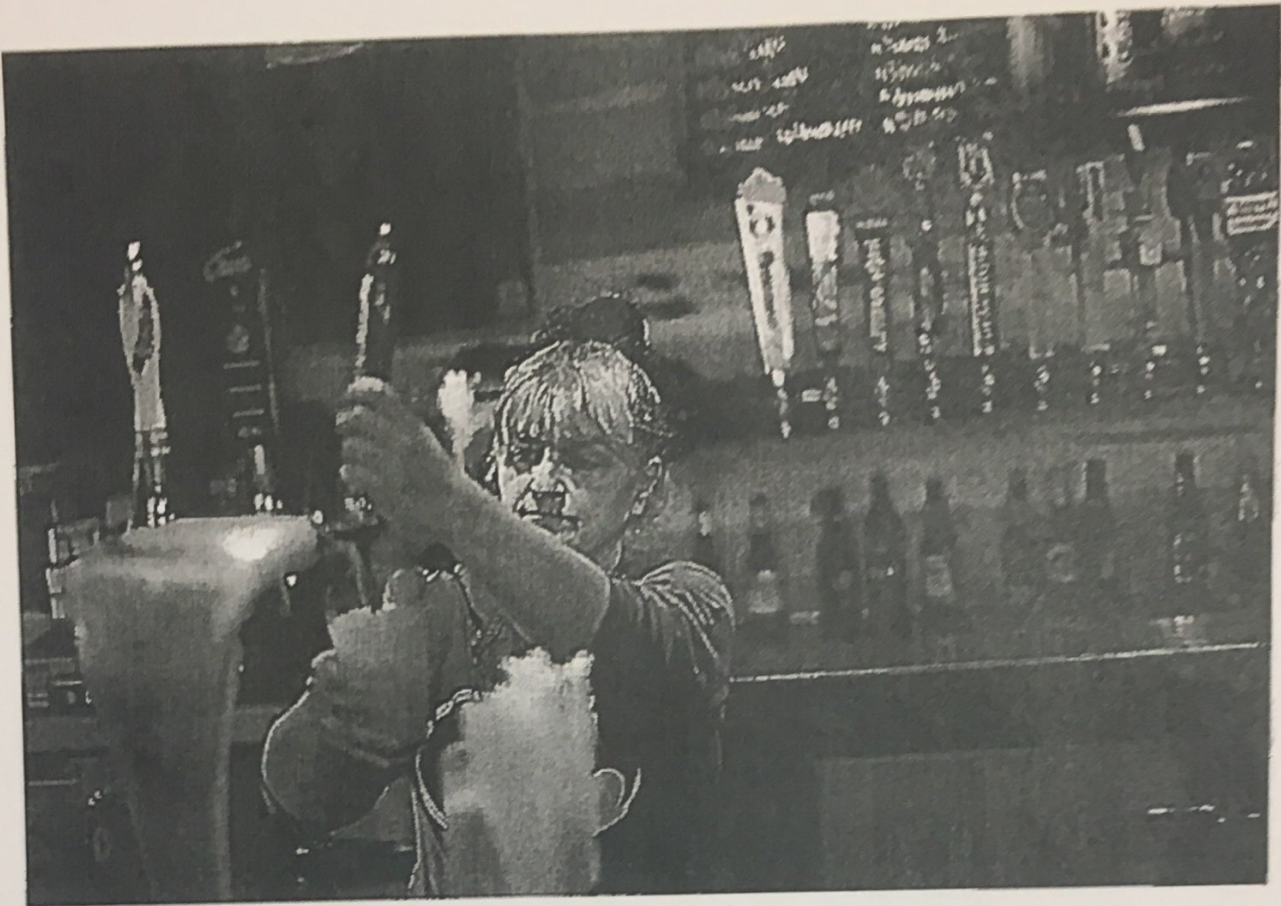
The Colorado **STATESMAN**

Wednesday, March 22, 2017 | Print Edition

The NEW place for leaks and insider information

Did a misinformation campaign kill this year's liquor licensing cleanup compromise?

by **Jared Wright** on March 8, 2017



As a bartender somewhere surely was pouring a brew, a legislative effort in the Colorado Legislature to clean up a historic liquor licensing compromise bill passed in 2016 died a dramatic and surprise death in the state Senate Monday. Meanwhile, many lobbyists who were the original architects of last year's compromise are crying foul, saying there was a subversive misinformation campaign being run by a fellow lobbyist. (Bruce Ely / The Oregonian via AP, File)

A legislative effort to clean up last year's hard-fought and widely bipartisan liquor licensing reform compromise died a surprise and dramatic death in the state Senate Monday. According to proponents, Senate Bill 143's demise by a narrow 18-17 vote was almost entirely due to misleading information, flat-out lies and a convolution of the process by a "mystery" group of clients and their lobbyist, Jason Hopfer — claims he says are patently false.

This year's bill was not designed to be contentious, according to its sponsors and advocates. It was aimed only to clean up a few oversights in last year's last-minute Senate Bill 16-197, which was passed to allow grocery stores like Kroger, Safeway, Walmart and Target to sell full strength alcohol by obtaining liquor licenses over a phased-in 20-year period. The move headed off as many as five initiatives that were headed to the November 2016 ballot, where many believe the well-funded big box stores would have taken it all and left little for the smaller liquor businesses to survive.

The SB 197 compromise last year was considered by most to be a major success considering how late in the 2016 session it was passed. It received sweeping, bipartisan support in both chambers,

passing the Senate on a 31-4 vote and the House 57-7. The bill was then signed into law by Gov. John Hickenlooper in June 2016.

But the compromise bill's success wasn't total perfection; the last-minute legislation wasn't without its oversights. So a similar group of stakeholders to last year's coalition set out to fix those mistakes. That's where this year's SB 143 comes in.

According to members of that coalition, one item that was overlooked in last year's bill was a regulation that controls the minimum age to work as a tavern server. Under prior Colorado law, an 18-year-old working as a waitress or waiter in a restaurant with an attached bar or in a sports bar could serve alcoholic beverages made at the bar to customers seated at tables in the restaurant. That law was mistakenly stricken during last year's rewriting of the liquor code, potentially putting thousands of jobs held by youth at risk. SB 143 sought to correct the situation by putting the exemption language back in.

Calling this year's cleanup bill a vital piece of legislation to complete 2016's historic liquor legislation, Micki Hackenberger, a lobbyist representing the Wine and Spirit Wholesalers of Colorado and one of the original architects of the 2016 compromise, told The Colorado Statesman after SB 143's death in the Senate that the intent of last year's bill was certainly not to put 18-year-olds out of work.

"Liquor enforcement hadn't promulgated their rules yet on that, and they said we need to fix this, because obviously they knew that wasn't the intent to fire every 18-year-old working in a bar or in a restaurant," said Hackenberger. "So we're not quite sure what's going to happen to all those people in college towns working right now as servers," she added.

Another issue Hackenberger said must be fixed deals with Electronic Benefit Transfer, or EBT, card holders such as Medicaid patients. Current law does not allow EBT cards to be used at liquor-licensed establishments. If grocery stores with pharmacies — like Kroger, for example — were to become liquor-licensed grocery stores, customers would not be able to use their EBT cards to shop in those stores under current law. Hackenberger said a statutory fix is needed to make sure those Medicaid patients and other EBT card customers are not negatively affected by last year's law rewrite.

The major roadblock to those fixes that seemed to come out of nowhere, Hackenberger says, was a misinformation campaign that descended into a chaotic Senate floor re-debate over last year's bill rather than the actual legislation being offered up this year. "The general misinformation was about whether Walmart was involved last year or whether they weren't," she said. "Obviously we wouldn't have had a liquor bill last year if Walmart wasn't involved. They're the ones that pulled the funding from the ballot issue and came to the negotiating table. But there were so many important things in the bill that people aren't even addressing, primarily the age of tavern servers."

The Colorado Statesman investigated these claims of a subversive misinformation aimed at derailing SB 143 by reaching out to a number of stakeholders involved in this year's bill, legislation that proponents like Hackenberger say was designed for one and only one purpose, to fix the glitches in last year's SB 197.

The 'lies'

You lie! No, it wasn't exactly a repeat of South Carolina U.S. Rep. Joe Wilson's State of the Union admonishment of Barack Obama — different circumstances, different time, different place. But the age-old charge of dishonesty and misleading hearts and minds in politics was leveled in the Colorado General Assembly throughout SB 143's rough and tumble path to its death in the Senate, and Hopfer and his "mystery" clients seem to be taking the brunt of the blame.

Bill proponents claim Hopfer took advantage of the emotionally charged nature of alcohol bills to sow discord on the measure — which should have otherwise been noncontroversial — on behalf of his client's "isolated interests."

Some senators cited concerns that SB 143 was a bill seeking to expand licensing to Walmart stores, something they said they had heard was not included in the original legislation.

"You know this is an example of people's failure to even understand the debate," Hackenberger says. "The debate that happened on the bill was more or less a rehash of last year's liquor bill and whether that was good or bad, it wasn't really about 143, other than they kept saying, 'and now we're going to let Walmart in.' Well, Walmart was already in. They were part of the discussion last year."

But was Walmart really already "in?"

The Statesman reached out to Wal-Mart Stores Inc.'s public affairs representative Josh Phair who said the retail giant was not only in, but was one of a handful of key players to originally come to the table in the 2016 negotiating process.

"We were the only grocer for a while that thought the compromise was the right way to go," said Phair, "so for us to negotiate a bill that benefited our competitors but not us, that just doesn't pass the laugh test."

Phair acknowledged there was a clarifying amendment passed in the Senate Business, Labor and Technology Committee Feb. 13, could have been one source of legislators' confusion on SB 143. That amendment corrected a technical mistake in last year's SB 197. The original language mistakenly created an unintended problem for stores like Walmart and Target.

That problem, according to state regulators, essentially locked Walmart and Target stores out of the process of obtaining new liquor licenses — mistakenly so — even though they were two of the major interested parties who helped negotiate the deal to drop the November ballot initiative in the first place.

"I think nobody can believe that a company the size of Walmart or a company the size of Target could make a mistake as to [SB 197's] application or their ability to avail themselves to the opportunity of 197, said Collon Kennedy, Walmart's lobbyist at the Capitol. "So, low and behold, the liquor enforcement division states that we don't have the qualifying license to be able to obtain the additional benefits of 197."

But Phair contended the majority of the confusion came out of a misinformation campaign.

"There were definitely several mistruths that were highlighted during the debate," Phair said. "One is that there was never an intention for Walmart to be included in the license expansion portion of the bill. That is not true. Everybody at the negotiating table — I was there — everybody at the negotiating table intended for Walmart and Target to participate in the slow, gradual expansion of licenses over the next 20 years. That was always the intention. So anybody that says that we were just supposed to get beer is not telling the truth or doesn't know what they're talking about. So that's one myth that was pushed both in the chamber and outside during conversations. And so that's obviously something we need to get cleared up."

Kennedy agreed. "The reality is, the level of purposeful confusion and in this instance lies, can make a complicated issue very difficult," said Kennedy. "With a strategy of creating chaos, even if there's not an ounce of veracity to it, it can be very successful, and it showed that."

Kennedy laid the blame for the "purposeful confusion" and "lies" at Hopfer's feet, an oddity, Kennedy said, given that Hopfer was a supporter of SB 197 in 2016.

"Jason [Hopfer] previously represented 7-Eleven in previous amalgamations of the liquor issue in previous sessions. I think Jason parted ways with 7-Eleven after the passage of 197, for why I can't answer that."

Hopfer said his firm, JLH Consulting and Public Affairs, was approached last year by "multiple liquor stores," including Applejack Wine and Spirits in Wheat Ridge, CJ's Liquor in Longmont and Molly's Spirits in Lakeside to assure that there is a "level playing field," something they contend they do not have under the 2016 compromise.

But Applejack Wine and Spirits isn't just any liquor store, it is a mega-store — one of the largest liquor stores in the United States. Led by CEO and President Jim Shpall, the company was cited in 2005 as "the largest volume single beverage alcohol store in the U.S."

Hopfer says he simply helped lawmakers in the Senate understand what SB 143 would accomplish or fail to accomplish and then let senators make their own decisions.

"The basic gist is, I educated members to what the bill actually did," Hopfer told The Statesman, though he said he didn't care to walk back through the whole process.

Responding to the claims leveled by Hackenberger, Phair and others, Hopfer added, "I'm not really interested in litigating their claims. The fact is they tried to run a bill that they claimed was a consensus bill without really telling legislators what was in it. We informed legislators what was in the bill. My clients were opposed to that. It's just more sour grapes from folks who are not adequately representing the liquor industry. If they were, our clients would not come to us."

But last year's process, of which Hopfer was part of, was unique — and far less dramatic. In the final hours of the 2016 legislative session, an effort led by former Sen. Pat Steadman, D-Denver, and Reps. Dan Nordberg, R-Colorado Springs, and Angela Williams, D-Denver, spurred lawmakers to come together to pass sweeping reform to the state's liquor licensing code. Senate Bill 16-197 upended the state's post-Prohibition regulatory scheme and opened the door to "big-box" grocers like Kroger, Safeway, Walmart and Target to carry alcoholic beverages on their shelves through allowing them to acquire licenses over a slow, phased-in approach. The legislative move was aimed to head off a coalition of those same big-box businesses from placing a well-funded all-or-nothing initiative on the Nov. 2016 ballot, a campaign spearheaded by organization Your Choice Colorado.

Hopfer, at the time, was representing his client, 7-Eleven Convenience Stores — in support of SB 197.

Jeanne McEvoy, the executive director of the Colorado Licensed Beverage Association says she represents the large majority of small liquor stores in Colorado while Hopfer's clients — members of "an LLC, not an association" — are a select few, large stores. "They're not a bona fide association, McEvoy said. "If they were they would be a 501(c)(4) or (6). I guess they don't want the IRS responsibility of being an association."

McEvoy continued, "Jason is not very forthcoming with who his members are, but if you want to see my list of 1,320 liquor stores who belong to my association, I'd be glad to share it with you."

What's more, she said, "Applejack is the Disneyland of alcohol, for adults! The grocery stores are at least going to minimize their stock; they have to sell everything else. They're not going to stop selling Coca Cola and replace it with beer. It would take Walmart 10 years or more to make the kind of money that Applejack does. Applejack's mission is to dominate the market, and they can do that if they get a whole bunch of stores. Liquor stores that I counted on have decided that

their bottom lines are more important than what happens to little mom and pop liquor stores in the state, but let's not talk about SB 199, that's their baby, not mine."

Hopfer's organization is supporting Senate Bill 199, sponsored by Sens. Andy Kerr, D-Lakewood and Tim Neville, R-Littleton, which would grant locally owned liquor stores the ability to amass as many licenses as liquor-licensed drugstores — the classification that major grocery chains fall in — as opposed to a smaller number of licenses they can acquire under current law reflecting last year's compromise legislation.

This, McEvoy says, would be disastrous to her thousand plus small member stores across the state.

But Hopfer says it's simply a matter of fairness. "We're supporting Sen. Neville's bill to bring parity to the number of licenses that liquor stores can have with Safeway and King Soopers," Hopfer told The Statesman. "Our members are concerned with their ability to compete when they can only get four licenses over 20 years when Kings Soopers or Safeway will have 20, potentially."

On the other side of the glass from the lobby, while SB 143 failed to make it out of the Senate and over to the House where state Rep. Dan Nordberg, R-Colorado Springs, was the sponsor, he told The Statesman he has a message he wants to deliver to his Senate colleagues on their failure to see the importance of the legislation.

"With all the confusion from the lobby, I fear my colleagues forgot why we passed compromise legislation last session ... to avoid multiple ballot measures, which would upend our liquor code and place small mom and pop liquor stores out of business," said Nordberg, a sponsor of both last year's SB 197 and this year's legislation. "SB 143 was the continuation of that process and its failure to pass the Senate marks a major step backwards. I'm hopeful that once the dust settles, we can get back to work on finding an agreeable solution."

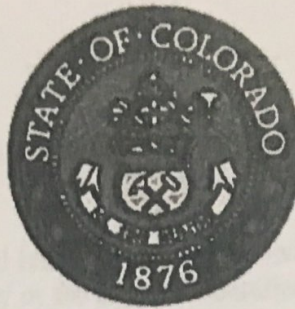
Stakeholders on both sides of the issue are now reformulating their plans, but they make one thing clear, they all want legislators to hear the truth about what the future holds for licensed liquor retail stores — and for young college kids trying to work in sports bars in Colorado right now — it's a big question mark, and that's got to change.

"Until Jason Hopfer steps up and tells the truth about who's in his organization, who he's representing and what they want and why they killed our bill," said McEvoy, "it's going to be difficult for the majority of liquor stores in Colorado to have a clear future."

Share this:

STATE OF COLORADO
Department of State

1700 Broadway
Suite 250
Denver, CO 80290



Wayne W. Williams
Secretary of State

Mike Hardin
Business and Licensing Division

March 27, 2017

Mr. Collon Kennedy
Colorado Communique
P.O. Box 460956
Denver, Colorado 80246

RE: Notice of Lobbyist Complaint by Senator Irene Aguilar

Dear Mr. Kennedy,

In accordance with Colorado statutory law and the Colorado Secretary of State's lobbyist rules, this letter serves as notice that Senator Irene Aguilar filed the attached lobbyist complaint with the Colorado Secretary of State's office on March 13, 2017.¹

The complaint alleges that you have been actively lobbying covered officials without properly registering with Secretary of State's Office. A review of your lobbying registration and disclosure history on the Secretary of State's website indicates that your registration expired in June of 2016.

Date and factual basis of each act alleged

Specifically, the complaint alleges that you have been at the Capitol lobbying for the passage of Senate Bill 17-143. Senator Aguilar alleges that, while you have not spoken to her directly, she witnessed you strategizing with other lobbyists on Monday, March 6, 2017.

The complaint also references and includes an article in the Colorado Statesman in which you allegedly stated that you were lobbying on behalf of Walmart.

Senator Aguilar states that another lobbyist offered to bring you to speak with her about the bill, though she has declined, and that you attended stakeholder meetings during the interim that lead to the drafting of SB 17-143.

Applicable law that ALC allegedly violated

Colorado's "Regulation of Lobbyists" law defines "lobbying" as, among other things, "communicating directly, or soliciting others to communicate, with a covered official for the purpose of aiding in or influencing...[t]he drafting, introduction, sponsorship, consideration,

¹ Section 24-6-305 (2) (c), C.R.S., and Rule 5.2 & CCR 1505-8

APPENDIX B

Main Number (303) 894-2200
Administration (303) 860-6900
Fax (303) 869-4860

TELETYPE
Web Site
E-mail

(303) 867-48
www.sos.state.co
administration@sos.state.co

debate,...[or] passage [of] [a]ny bill.”² Members of the General Assembly are covered officials under the act.

The law also defines a “professional lobbyist” as “any individual who engages himself or is engaged by any other person for pay or for any consideration for lobbying.”³

Finally, the law requires a professional lobbyist to register and disclose certain information before lobbying.⁴

Secretary of State action

The Secretary of State’s office will investigate the complaint. As the first part of that investigation, the Secretary of State requests that you submit to this office a written response to the complaint no later than April 26, 2017. In your response, please provide the following:

- A description of all communication you’ve had with covered officials, in writing or verbally, since June of 2016;
 - Please include the names and dates of the covered officials with whom you’ve communicated, as well as the nature and subject matter of those communications.
- A statement answering the allegations in the complaint; and
- Any other information you deem relevant to the investigation.

We will complete the investigation in a timely manner and notify you of the results and any next steps, which may include a hearing.⁵

Thank you in advance for your consideration and timely response to this notice and request for a written response.

Sincerely,



Mike Hardin
Director of Business and Licensing

² Section 24-6-301 (3.5) (a) (I) (A), C.R.S.

³ Section 24-6-301 (6), C.R.S.

⁴ Sections 24-6-302 and 24-6-303, C.R.S.

⁵ Section 24-6-306 (3), C.R.S.

CERTIFICATE OF MAILING

This is to certify that I have duly mailed the within letter to the address below by depositing copies of the same in the United States mail, first class, and certified, postage paid, at Denver, Colorado **Tuesday, March 28, 2017**, addressed as follows:

Colorado Communique
Attn: Collon C Kennedy
PO Box 460956
Denver, CO 80246-0956



Lawrence Runn
Lead Investigator, Business & Licensing Division
Office of the Secretary of State

FLN



Colorado Secretary Of State
Attn: Angela Lawson
M300000060
1700 Broadway, Suite 200
Denver CO 80200

SEQ# 0000001



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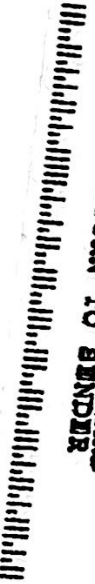


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Colorado Secretary Of State
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SECRETARY OF STATE

COLLON C KENNEDY
965 S BUTLER WAY
LAKEWOOD CO 80226-4213

Angela Lawson

From: Angela Lawson
Sent: Thursday, May 4, 2017 3:20 PM
To: 'Collon Kennedy'
Subject: RE: Lobbyist Complaint Investigation

Hi Collon,

Thank you for the update on the address. I will change that information on the letter before sending it out.

Angela Lawson
Manager, Lobbyist Program
Colorado Secretary of State
Voice: 303.894.2200 ext. 6304
Email: a.lawson@sos.state.co.us
Web site: www.sos.state.co.us

From: Collon Kennedy [mailto:collonkennedy@hotmail.com]
Sent: Thursday, May 04, 2017 3:06 PM
To: Angela Lawson <Angela.Lawson@SOS.STATE.CO.US>
Subject: Re: Lobbyist Complaint Investigation

Thanks Angela.

I appreciate the documentation. I will obviously respond immediately. I don't know what address you sent the information, but the proper address is 151 S. Humboldt, Denver, CO 80209. Thanks again for getting this to me!

From: Angela Lawson <Angela.Lawson@SOS.STATE.CO.US>
Sent: Thursday, May 4, 2017 2:32:54 PM
To: collonkennedy@hotmail.com
Subject: Lobbyist Complaint Investigation

Mr. Kennedy,

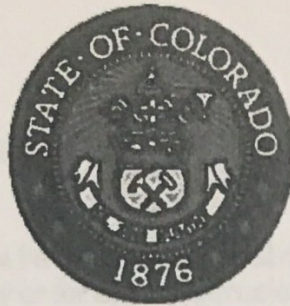
As a courtesy, attached is a PDF copy of a complaint filed by Representative Aguilar against you and a letter from our office requesting information regarding the allegations. A certified letter will proceed this email and you should receive in the next few days. This is the second attempt in contacting you regarding this matter.

If you have any questions please contact our office.

Angela Lawson
Manager, Lobbyist Program
Colorado Secretary of State
Voice: 303.894.2200 ext. 6304
Email: a.lawson@sos.state.co.us
Web site: www.sos.state.co.us

STATE OF COLORADO
Department of State

1700 Broadway
Suite 250
Denver, CO 80290



Wayne W. Williams
Secretary of State

Mike Hardin
Business and Licensing Division

May 4, 2017

Mr. Collon Kennedy
151 S Humboldt
Denver, CO 80209

RE: Notice of Lobbyist Complaint by Senator Irene Aguilar

Dear Mr. Kennedy,

In accordance with Colorado statutory law and the Colorado Secretary of State's lobbyist rules, this letter serves as notice that Senator Irene Aguilar filed the attached lobbyist complaint with the Colorado Secretary of State's office on March 13, 2017.¹

The complaint alleges that you have been actively lobbying covered officials without properly registering with Secretary of State's Office. A review of your lobbying registration and disclosure history on the Secretary of State's website indicates that your registration expired in June of 2016.

Date and factual basis of each act alleged

Specifically, the complaint alleges that you have been at the Capitol lobbying for the passage of Senate Bill 17-143. Senator Aguilar alleges that, while you have not spoken to her directly, she witnessed you strategizing with other lobbyists on Monday, March 6, 2017.

The complaint also references and includes an article in the Colorado Statesman in which you allegedly stated that you were lobbying on behalf of Walmart.

Senator Aguilar states that another lobbyist offered to bring you to speak with her about the bill, though she has declined, and that you attended stakeholder meetings during the interim that lead to the drafting of SB 17-143.

Applicable law allegedly violated

Colorado's "Regulation of Lobbyists" law defines "lobbying" as, among other things, "communicating directly, or soliciting others to communicate, with a covered official for the purpose of aiding in or influencing...[t]he drafting, introduction, sponsorship, consideration,

¹ Section 24-6-305 (2) (c), C.R.S., and Rule 5.2.8 CCR 1505-8

APPENDIX D

Main Number (303) 894-2200
Administration (303) 860-6900
Fax (303) 869-4860

Web Site
E-mail

www.sos.state.co.us
administration@sos.state.co.us

debate,...[or] passage [of] [a]ny bill.”² Members of the General Assembly are covered officials under the act.

The law also defines a “professional lobbyist” as “any individual who engages himself or is engaged by any other person for pay or for any consideration for lobbying.”³

Finally, the law requires a professional lobbyist to register and disclose certain information before lobbying.⁴

Secretary of State action

The Secretary of State’s office will investigate the complaint. As the first part of that investigation, the Secretary of State requests that you submit to this office a written response to the complaint no later than May 18, 2017. In your response, please provide the following:

- A description of all communication you’ve had with covered officials, in writing or verbally, since June of 2016;
 - Please include the names and dates of the covered officials with whom you’ve communicated, as well as the nature and subject matter of those communications.
- A statement answering the allegations in the complaint; and
- Any other information you deem relevant to the investigation.

We will complete the investigation in a timely manner. This is the second attempt to contact you. You will be notified of the results and any next steps, which may include a hearing.⁵

Thank you in advance for your consideration and timely response to this notice and request for a written response.

Sincerely,



Mike Hardin
Director of Business and Licensing

² Section 24-6-301 (3.5) (a) (I) (A), C.R.S.

³ Section 24-6-301 (6), C.R.S.

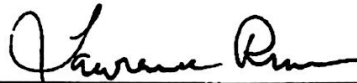
⁴ Sections 24-6-302 and 24-6-303, C.R.S.

⁵ Section 24-6-306 (3), C.R.S.

CERTIFICATE OF MAILING

This is to certify that I have duly mailed the within letter to the address below by depositing copies of the same in the United States mail, first class, postage paid, at Denver, Colorado **Wednesday, May 10, 2017**, addressed as follows:

Mr. Collon Kennedy
151 S Humboldt
Denver, CO 80209



Lawrence Runn
Lead Investigator, Business & Licensing Division
Office of the Secretary of State

2ND



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ED MAIL

Colorado Secretary Of State
Attn: Lobbyist

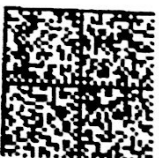
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DENVER CO 80209-2515

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AOK STRATEGIES, LLC
151 S. Humboldt Street
Denver, Colorado 80209
Telephone: (303) 888-5427

May 31, 2017

Mr. Mike Hardin
Director of Business and Licensing
1700 Broadway, Suite 250
Denver, Colorado 80290

RE: Notice of Lobbying Complaint by Senator Irene Aguilar

Dear Mr. Hardin:

This letter is in response to your correspondence dated May 4, 2017 wherein it is requested that I respond to a complaint filed by Senator Irene Aguilar on March 6, 2017 alleging that I have been actively lobbying covered officials without properly registering with the Colorado Secretary of State's Office in compliance with applicable law.

In response to Senator Aguilar's complaint, I deny the allegations contained therein. Any and all active lobbying of covered officials has been conducted with proper registration with the Colorado Secretary of State's Office. I, as the owner of AOK Strategies, LLC, have been actively lobbying various issues at the Capitol during the 2017 Legislative Session. It is my position that such lobbying was conducted in accordance with Colorado legal requirements.

To begin, Senator Aguilar's complaint improperly lists Colorado Communique as the company under which I lobby. While I formerly lobbied (for several years until the end of the 2016 Legislative Session) under Colorado Communique, beginning in the 2017 Legislative Session, all contracts that were formally between clients seeking my lobbying services and Colorado Communique were transferred to AOK Strategies, LLC. I am the owner of AOK Strategies, LLC. These contracts include the contract with Walmart, the client referenced in Senator Aguilar's complaint.

Simultaneously with the transfer of clients to AOK Strategies, LLC, my assistant was instructed to register AOK Strategies, LLC with the Colorado Secretary of State as a lobbying entity. This registration was completed (Lobbyist/Registration ID: 20175003718). AOK Strategies, LLC is in current status with the Colorado Secretary of State.

As part of AOK Strategies, LLC's monthly disclosure reporting, all bills lobbied by me on behalf of clients – including Walmart and specifically SB 17-143 – have been disclosed and reported. Communications with covered officials have been in connection and compliance with all such disclosed bills and positions reported. Additionally, all income received from clients have been disclosed in accordance with Colorado legal requirements. In direct response to Senator Aguilar's complaint, reports for activity during January 2017 through the end of session (excluding May 2017, which is not yet due until June 15, 2017) reflect both the fact that AOK Strategies, LLC was lobbying for Walmart as well as working on SB 17-143.

It is my position that all lobbying conducted during the 2017 Legislative Session – specifically including activities on behalf of Walmart and on SB 17-143 – were properly conducted under lobbying registration for AOK Strategies, LLC. On behalf of myself and my company, AOK Strategies, LLC, I would like to say

my organization desires to fully cooperate with the Colorado Secretary of State in bringing Senator Aguilar's complaint to closure. In the event the Colorado Secretary of State's Office determines that additional or different responses or filings are required, please do not hesitate to let me know. Additionally, if there are fees or other registrations needed to bring further assurance of full disclosure, we will make sure those matters are handled accordingly.

I hope this correspondence answers any questions or concerns you may have in connection with Senator Aguilar's complaint. If, however, you require additional information or further response, please do not hesitate to contact me.

Sincerely,

/S/ Collon Kennedy
Original Signature on File

Collon Kennedy
Managing Member



[Lobbyist Main Page](#)

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Lobbyist Summary

Lobbyist ID 20017000399
Name KENNEDY, COLLON C
Registration Date 12/23/2001

Status EXPIRED 06/30/2002
Reporting Status CURRENT

Lobbyist

Name: KENNEDY, COLLON C
Address: 965 S BUTLER WAY
City: LAKEWOOD **State:** CO **Zip/Postal Code:** 80226
Phone: 303-884-7416

Lobbyist Menu

- [Disclosure Reports \(current fiscal year only\)](#)
- [Clients](#)
- [Past Disclosure Reports and Registrations](#)
- [History](#)

[Previous Page](#)

[Terms & conditions](#) | [Browser compatibility](#)

APPENDIX F



For this Record...

Filing history and documents
 Get a certificate of good standing
 File a form
 Subscribe to email notification
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Business Home
 Business Information
 Business Search

FAQs, Glossary and Information

Summary

Details			
Name	AOK Strategies LLC		
Status	Good Standing	Formation date	06/17/2015
ID number	20151393666	Form	Limited Liability Company
Periodic report month	February	Jurisdiction	Colorado
Principal office street address	151 S. Humboldt Street, Denver, CO 80209, United States		
Principal office mailing address	n/a		

Registered Agent	
Name	Jannifer R Douglass
Street address	151 S. Humboldt Street, Denver, CO 80209, United States
Mailing address	n/a

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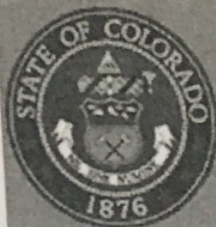
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APPENDIX G



Colorado
Secretary of State
Wayne W. Williams



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File a form
Subscribe to email notification
Unsubscribe from email notification
Return to Summary

Business Home
Business Information
Business Search

FAQs, Glossary and Information

History and Documents

Name: AOK Strategies LLC
ID number: 20151393666

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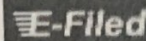
Found 7 matching record(s). Viewing page 1 of 1.

# Event	Date Filed	Date Posted	EffectiveDate	Document # <small>(click to view)</small>
1 Articles of Organization	06/17/2015	06/17/2015	06/17/2015 04:05 PM	20151393666
2 Periodic Report due	05/23/2016	05/23/2016	05/23/2016 02:23 AM	
3 Periodic Report due in one week	08/24/2016	08/24/2016	08/24/2016 01:54 AM	
4 Change in Status: Noncompliant for failure to file Periodic Report	09/01/2016	09/01/2016	09/01/2016 01:36 AM	
5 Change in status in one week: Delinquent for failure to file Periodic Report	10/24/2016	10/24/2016	10/24/2016 02:47 AM	
6 Change in Status: Delinquent for failure to file Periodic Report	11/01/2016	11/01/2016	11/01/2016 01:42 AM	
7 Statement Curing Delinquency	02/14/2017	02/14/2017	02/14/2017 02:09 PM	20171120590

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Document must be filed electronically.
Paper documents are not accepted.
Fees & forms are subject to change.
For more information or to print copies
of filed documents, visit www.sos.state.co.us.



Colorado Secretary of State
Date and Time: 06/17/2015 04:05 PM
ID Number: 20151393666
Document number: 20151393666
Amount Paid: \$50.00

ABOVE SPACE FOR OFFICE USE ONLY

Articles of Organization

filed pursuant to § 7-80-203 and § 7-80-204 of the Colorado Revised Statutes (C.R.S.)

1. The domestic entity name of the limited liability company is

AOK Strategies LLC

(The name of a limited liability company must contain the term or abbreviation "limited liability company", "Ltd. liability company", "limited liability co.", "Ltd. liability co.", "limited", "L.L.C.", "llc", or "Ltd.". See §7-90-601, C.R.S.)

(Caution: The use of certain terms or abbreviations are restricted by law. Read instructions for more information.)

2. The principal office address of the limited liability company's initial principal office is

Street address

500 Williams Street #A

(Street number and name)

Denver

(City)

CO 80218

(State)

(ZIP/Postal Code)

United States

(Province - if applicable)

(Country)

Mailing address

(leave blank if same as street address)

(Street number and name or Post Office Box information)

(City)

(State)

(ZIP/Postal Code)

(Province - if applicable)

(Country)

3. The registered agent name and registered agent address of the limited liability company's initial registered agent are

Name

(if an individual)

Douglass

(Last)

Jannifer

(First)

R

(Middle)

(Suffix)

or

(if an entity)

(Caution: Do not provide both an individual and an entity name.)

Street address

500 Williams Street #A

(Street number and name)

Denver

(City)

CO

(State)

80218

(ZIP Code)

Mailing address

(leave blank if same as street address)

(Street number and name or Post Office Box information)

(City) CO (State) (ZIP Code)

(The following statement is adopted by marking the box.)

☒ The person appointed as registered agent has consented to being so appointed.

4. The true name and mailing address of the person forming the limited liability company are

Name
(if an individual) Douglass Jannifer R
(Last) (First) (Middle) (Suffix)

or

(if an entity)

(Caution: Do not provide both an individual and an entity name.)

Mailing address

500 Williams Street #A
(Street number and name or Post Office Box information)
Denver CO 80218
(City) (State) (ZIP/Postal Code)
United States
(Province - if applicable) (Country)

(If the following statement applies, adopt the statement by marking the box and include an attachment.)

☐ The limited liability company has one or more additional persons forming the limited liability company and the name and mailing address of each such person are stated in an attachment.

5. The management of the limited liability company is vested in

(Mark the applicable box.)

☐ one or more managers.

or

☒ the members.

6. (The following statement is adopted by marking the box.)

☒ There is at least one member of the limited liability company.

7. (If the following statement applies, adopt the statement by marking the box and include an attachment.)

☐ This document contains additional information as provided by law.

8. (Caution: Leave blank if the document does not have a delayed effective date. Stating a delayed effective date has significant legal consequences. Read instructions before entering a date.)

(If the following statement applies, adopt the statement by entering a date and, if applicable, time using the required format.)

The delayed effective date and, if applicable, time of this document is/are _____
(mm/dd/yyyy hour:minute am/pm)

Notice:

Causing this document to be delivered to the Secretary of State for filing shall constitute the affirmation or acknowledgment of each individual causing such delivery, under penalties of perjury, that the document is the individual's act and deed, or that the individual in good faith believes the document is the act and deed of the person on whose behalf the individual is causing the document to be delivered for filing, taken in conformity with the requirements of part 3 of article 90 of title 7, C.R.S., the constituent documents, and the organic statutes, and that the individual in good faith believes the facts stated in the document are true and the document complies with the requirements of that Part, the constituent documents, and the organic statutes.

This perjury notice applies to each individual who causes this document to be delivered to the Secretary of State, whether or not such individual is named in the document as one who has caused it to be delivered.

9. The true name and mailing address of the individual causing the document to be delivered for filing are

Douglass	Jannifer	R	
(Last)	(First)	(Middle)	(Suffix)
500 Williams Street #A			
(Street number and name or Post Office Box information)			
Denver	CO	80218	
(City)	(State)	(ZIP/Postal Code)	
	United States		
(Province - if applicable)	(Country)		

(If the following statement applies, adopt the statement by marking the box and include an attachment.)

- ☐ This document contains the true name and mailing address of one or more additional individuals causing the document to be delivered for filing.

Disclaimer:

This form/cover sheet, and any related instructions, are not intended to provide legal, business or tax advice, and are furnished without representation or warranty. While this form/cover sheet is believed to satisfy minimum legal requirements as of its revision date, compliance with applicable law, as the same may be amended from time to time, remains the responsibility of the user of this form/cover sheet. Questions should be addressed to the user's legal, business or tax advisor(s).



Document must be filed electronically.
Paper documents are not accepted.
Fees & forms are subject to change.
For more information or to print copies
of filed documents, visit www.sos.state.co.us.

Colorado Secretary of State
Date and Time: 02/14/2017 02:09 PM
ID Number: 20151393666
Document number: 20171120590
Amount Paid: \$100.00

ABOVE SPACE FOR OFFICE USE ONLY

Statement Curing Delinquency
filed pursuant to §7-90-904 of the Colorado Revised Statutes (C.R.S.)

1. For the delinquent entity, its ID number, entity name and jurisdiction of formation are

ID number 20151393666
(Colorado Secretary of State ID number)

Entity name AOK Strategies LLC

Jurisdiction where formed Colorado

2. By providing the information required herein, this statement corrects all grounds for delinquency cited by the secretary of state.

3. The registered agent name and registered agent address of the registered agent are

Name
(if an individual) Douglass Jannifer R
(Last) (First) (Middle) (Suffix)

OR

(if an entity) _____
(Caution: Do not provide both an individual and an entity name).

The person appointed as registered agent above has consented to being so appointed.

Street address 151 S. Humboldt Street
(Street number and name)

Denver CO 80209
(City) (State) (Zip Code)

Mailing address _____
(leave blank if same as street address) *(Street number and name or Post Office Box information)*

(City) (State) (Zip Code)

(If the following statement applies, adopt the statement by marking the box.)

- ☐ The mailing address in the records of the Secretary of State is no longer different than the street address and is no longer required.

4. The principal office address of the entity's principal office is

Street address

151 S. Humboldt Street

(Street number and name)

Denver

(City)

CO

(State)

80209

(Postal/Zip Code)

United States

(Country - if not US)

(Province - if applicable)

Mailing address

(leave blank if same as street address)

(Street number and name or Post Office Box information)

(City)

(State)

(Postal/Zip Code)

(Province - if applicable)

(Country - if not US)

(If the following statement applies, adopt the statement by marking the box.)

☐

The mailing address in the records of the Secretary of State is no longer different than the street address and is no longer required.

5. (If the following statement applies, adopt the statement by marking the box and include an attachment.)

☐

This document contains additional information as provided by law.

6. (Caution: Leave blank if the document does not have a delayed effective date. Stating a delayed effective date has significant legal consequences. Read instructions before entering a date.)

(If the following statement applies, adopt the statement by entering a date and, if applicable, time using the required format.)

The delayed effective date and, if applicable, time of this document is/are _____.

(mm/dd/yyyy hour:minute am/pm)

Notice:

Causing this document to be delivered to the secretary of state for filing shall constitute the affirmation or acknowledgment of each individual causing such delivery, under penalties of perjury, that the document is the individual's act and deed, or that the individual in good faith believes the document is the act and deed of the person on whose behalf the individual is causing the document to be delivered for filing, taken in conformity with the requirements of part 3 of article 90 of title 7, C.R.S., the constituent documents, and the organic statutes, and that the individual in good faith believes the facts stated in the document are true and the document complies with the requirements of that Part, the constituent documents, and the organic statutes.

This perjury notice applies to each individual who causes this document to be delivered to the secretary of state, whether or not such individual is named in the document as one who has caused it to be delivered.

7. The true name and mailing address of the individual causing the document to be delivered for filing are

Douglass

(Last)

Jannifer

(First)

(Middle)

(Suffix)

151 S. Humboldt Street

(Street number and name or Post Office Box information)

Denver

(City)

CO

(State)

80209

(Postal/Zip Code)

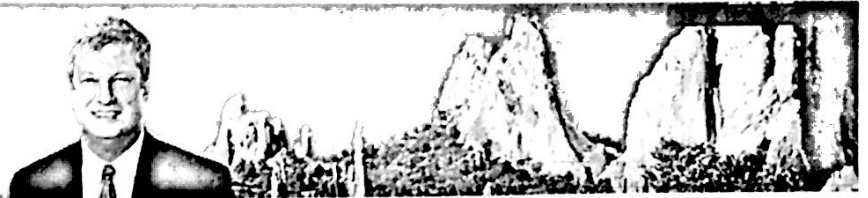
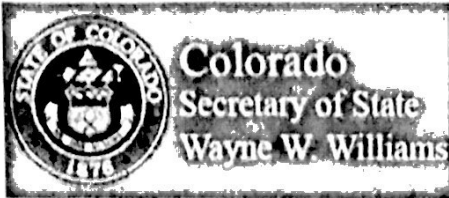
(Province - if applicable)

(Country - if not US)

- (If the following statement applies, adopt the statement by marking the box and include an attachment)*
- ☐ This document contains the true name and mailing address of one or more additional individuals causing the document to be delivered for filing.

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Lobbyist Summary

Lobbyist ID 20175003718
Name AOK Strategies, LLC
Registration Date 02/14/2017

Status: EXPIRED: 06/30/2017
Reporting Status CURRENT

Lobbyist

Firm Name: AOK Strategies, LLC
Firm: AOK Strategies, LLC
Address: 151 S. Humboldt Street
City: Denver **State:** CO **Zip/Postal Code:** 80209
Email: jandouglass7@hotmail.com

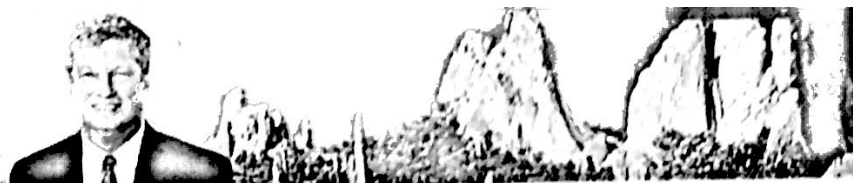
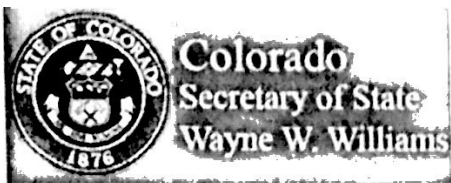
Lobbyist Menu

- [Disclosure Reports \(current fiscal year only\)](#)
- [Clients](#)
- [Cumulative Disclosure Report](#)
- [Past Disclosure Reports and Registrations](#)
- [History](#)

[Previous Page](#)

[Terms & conditions](#) | [Browser compatibility](#)

APPENDIX H



Lobbyist Main Page

Search by Lobbyist

Login

Lobbyist ID: 20175003718

Lobbyist Name: AOK Strategies LLC

[Next 20:]

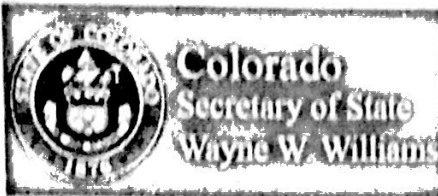
1 2 3 4 5 6 7 8

Found 152 matching record(s). Viewing page 1 of 8.

Date	Transaction #	Transaction Type	Details
07/17/2017	20175025055	Annual report filed	Fiscal Year: 2016-2017
07/17/2017	20175025054	Monthly report filed	June 2017
06/13/2017	20175020160	Monthly report filed	May 2017
06/13/2017	20175020158	Monthly report amended	Categories amended: income Income total modified from \$21,446.00 to \$24,779.33
06/13/2017	20175020157	Monthly report amended	Categories amended: income Income total modified from \$21,446.00 to \$24,779.33
05/15/2017	20175015295	Monthly report filed	April 2017
04/26/2017	20175013289	Add client	Name of client: AXIOM STRATEGIES INC
04/20/2017	20175012821	Monthly report amended	Categories amended: income Income total modified from \$20,279.33 to \$22,279.33
04/20/2017	20175012817	Monthly report amended	Categories amended: income Income total modified from \$16,946.00 to \$20,279.33
04/20/2017	20175012816	Monthly report amended	Categories amended: income Income total modified from \$15,146.00 to \$16,946.00
04/20/2017	20175012812	Monthly report amended	Categories amended: income Income total modified from \$10,000.00 to \$15,146.00
04/20/2017	20175012811	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012810	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012809	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012808	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012807	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012806	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012805	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012804	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012803	Monthly report amended	Categories amended: subjects & bills

Previous Page

Terms & conditions | Browser compatibility



[Lobbyist Main Page](#)

[Search by Lobbyist](#)

[Login](#)

Lobbyist History

Lobbyist ID: 20175003718

Lobbyist Name: AOK Strategies, LLC

[\[Previous\]](#)

[\[Next\]](#)

1 2 3 4 5 6 7 8

Found 152 matching record(s). Viewing page 2 of 8.

Date	Transaction #	Transaction Type	Details
04/20/2017	20175012802	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012801	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012800	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012799	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012798	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012797	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012796	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012795	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012794	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012793	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012792	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012791	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012790	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012789	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012788	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012787	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012786	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012785	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012784	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012783	Monthly report amended	Categories amended: subjects & bills

[Previous Page](#)

[Terms & conditions](#) | [Browser compatibility](#)



[Lobbyist Main Page](#)

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Lobbyist History

Lobbyist ID: 20175003718

Lobbyist Name: AOK Strategies, LLC

[< Prev 20](#)

[Next 20 >](#)

[1](#) [2](#) [3](#) [4](#) [5](#) [6](#) [7](#) [8](#)

Found 152 matching record(s). Viewing page 3 of 8.

Date	Transaction #	Transaction Type	Details
04/20/2017	20175012782	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012781	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012780	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012779	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012778	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012777	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012776	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012775	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012774	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012773	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012772	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012771	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012770	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012769	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012768	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012767	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012766	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012765	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012764	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012763	Monthly report amended	Categories amended: subjects & bills

[Previous Page](#)

[Terms & conditions](#) | [Browser compatibility](#)



[Lobbyist Main Page](#)

[Search by Lobbyist](#)

[Login](#)

Lobbyist History

Lobbyist ID: 20175003718

Lobbyist Name: AOK Strategies, LLC

[\[< Prev 20\]](#)

[\[Next 20 >\]](#)

1 2 3 4 5 6 7 8

Found 152 matching record(s). Viewing page 4 of 8.

Date	Transaction #	Transaction Type	Details
04/20/2017	20175012762	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012761	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012760	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012759	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012758	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012757	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012756	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012755	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012754	Monthly report amended	Categories amended: income Income total modified from \$8,946.00 to \$12,279.33
04/20/2017	20175012753	Monthly report amended	Categories amended: income Income total modified from \$7,146.00 to \$8,946.00
04/20/2017	20175012752	Monthly report amended	Categories amended: income Income total modified from \$5,146.00 to \$7,146.00
04/20/2017	20175012751	Monthly report amended	Categories amended: income Income total modified from \$0.00 to \$5,146.00
04/20/2017	20175012750	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012749	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012748	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012747	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012745	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012744	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012743	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012742	Monthly report amended	Categories amended: subjects & bills

[Previous Page](#)

[Terms & conditions](#) | [Browser compatibility](#)



[Lobbyist Main Page](#)

[Search by Lobbyist](#)

[Login](#)

Lobbyist History

Lobbyist ID: 20175003718

Lobbyist Name: AOK Strategies, LLC

[\[< Prev 20\]](#)

[\[Next 20 >\]](#)

1 2 3 4 5 6 7 8

Found 152 matching record(s). Viewing page 5 of 8.

Date	Transaction #	Transaction Type	Details
04/20/2017	20175012741	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012740	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012724	Monthly report amended	Categories amended: income Income total modified from \$19,446.00 to \$21,446.00
04/20/2017	20175012723	Monthly report amended	Categories amended: income Income total modified from \$17,646.00 to \$19,446.00
04/20/2017	20175012722	Monthly report amended	Categories amended: income Income total modified from \$10,146.00 to \$17,646.00
04/20/2017	20175012721	Monthly report amended	Categories amended: income Income total modified from \$5,000.00 to \$10,146.00
04/20/2017	20175012720	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012719	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012718	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012717	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012716	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012715	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012714	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012713	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012712	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012711	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012710	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012709	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012708	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012707	Monthly report amended	Categories amended: subjects & bills

[Previous Page](#)

[Terms & conditions](#) | [Browser compatibility](#)



Colorado
Secretary of State
Wayne W. Williams



[Lobbyist Main Page](#)

[Search by Lobbyist](#)

[Login](#)

Lobbyist ID: 20175003718

Lobbyist Name: AOK Strategies, LLC

[< Prev 20](#)

[1](#) [2](#) [3](#) [4](#) [5](#) [6](#) [7](#) [8](#)

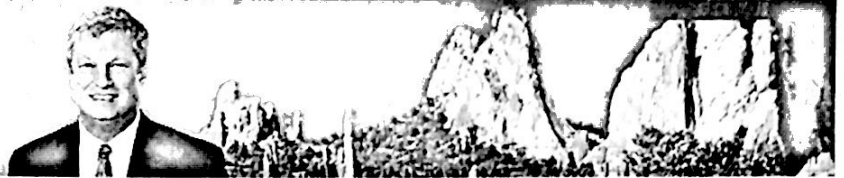
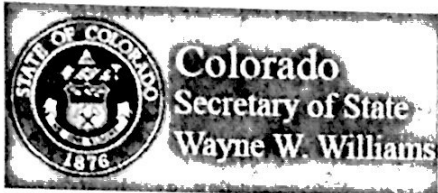
[Next 20 >](#)

Found 152 matching record(s). Viewing page 6 of 8.

Date	Transaction #	Transaction Type	Details
04/20/2017	20175012708	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012705	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012704	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012703	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012702	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012701	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012700	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012699	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012698	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012697	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012696	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012695	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012694	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012693	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012692	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012691	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012690	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012689	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012688	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012687	Monthly report amended	Categories amended: subjects & bills

[Previous Page](#)

[Terms & conditions](#) | [Browser compatibility](#)



[Lobbyist Main Page](#)

[Search by Lobbyist](#)

[Login](#)

Lobbyist History

Lobbyist ID: 20175003718

Lobbyist Name: AOK Strategies, LLC

[\[< Prev 20\]](#)

[\[Next 12 >\]](#)

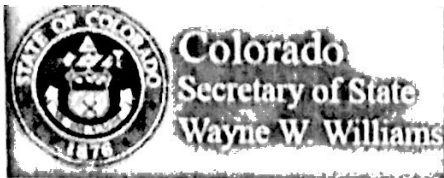
1 2 3 4 5 6 7 8

Found 152 matching record(s). Viewing page 7 of 8.

Date	Transaction #	Transaction Type	Details
04/20/2017	20175012686	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012685	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012684	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012683	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012682	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012681	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012680	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012679	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012678	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012677	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012676	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012675	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012674	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012673	Monthly report amended	Categories amended: subjects & bills
04/20/2017	20175012672	Add client	Name of client: ONE MAIN HOLDINGS, INC.
04/20/2017	20175012671	Add client	Name of client: HOBAN & FEOLA, LLC
04/20/2017	20175012670	Add client	Name of client: WAL-MART
04/20/2017	20175012669	Add client	Name of client: SMOKER FRIENDLY
04/20/2017	20175012667	Add client	Name of client: COLORADO HEALTH BENEFIT EXCHANGE
04/20/2017	20175012666	Add client	Name of client: COLORADO PROFESSIONAL FIRE FIGHTERS

[Previous Page](#)

[Terms & conditions](#) | [Browser compatibility](#)



[Lobbyist Main Page](#)

[Search by Lobbyist](#)

[Login](#)

Lobbyist History

Lobbyist ID: 20175003718

Lobbyist Name: AOK Strategies, LLC

[\[< Prev 20\]](#)

[1](#) [2](#) [3](#) [4](#) [5](#) [6](#) [7](#) [8](#)

Found 152 matching record(s). Viewing page 8 of 8.

Date	Transaction #	Transaction Type	Details
04/20/2017	20175012665	Add client	Name of client: COLUMBINE HEALTH PLAN
04/20/2017	20175012664	Add client	Name of client: COLORADO CONCERN
04/20/2017	20175012662	Add client	Name of client: WORKERS COMPENSATION EDUCATION ASSN
04/20/2017	20175012661	Add client	Name of client: CENTURY LINK
04/20/2017	20175012660	Change client info	Change client info
04/20/2017	20175012659	Add client	Name of client: PERA
04/19/2017	20175012581	Monthly report filed	March 2017
04/18/2017	20175012387	Monthly report delinquent	Report March/2017. Fines will accrue.
03/15/2017	20175008507	Monthly report filed	February 2017
03/15/2017	20175008503	Monthly report filed	January 2017
02/16/2017	20175005080	Monthly report delinquent	Report January/2017. Fines will accrue.
02/14/2017	20175003718	Registration	Registration

[Previous Page](#)

[Terms & conditions](#) | [Browser compatibility](#)

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ANGELA LAWSON
is acting as a proxy for
AOK Strategies,
LLC

Change Contact Information

Lobbyist ID: 20175003718
Lobbyist Name: AOK Strategies, LLC
151 S. Humboldt Street
Denver CO 80209
United States

Registration Status: EXPIRED: 06/30/2017 Report Status: CURRENT

Type of lobbyist: [Need help?](#)

I am registering as a(n)*

- ☐ Individual lobbyist that is not employed by a lobbying firm (enter the individual's name below)
- ☐ Individual lobbyist employed by a lobbying firm (enter the individual's name and the firm name below)
- ☐ Single-member lobbying firm (enter the individual's name and the firm name below)
- ☒ Lobbying firm with 2 or more members (enter the firm name below)
- ☐ Entities reporting contributions and expenditures only (enter the organization name below)

Individual's name:

(Last) (First) (Middle) (Suffix)

Firm / Organization name: AOK Strategies, LLC

Business Address

Address1: 151 S. Humboldt Street *

Address2:

City: Denver *

State: Colorado *

Zip: 80209 *

APPENDIX I

Lobbyist ID: 20175003718
Registration ID: 20175003718

Fiscal Year: 2016-2017
Report Month: January

AOK Strategies, LLC
AOK Strategies, LLC
151 S. Humboldt Street
Denver CO 80209 US
jandouglass7@hotmail.com

There is no income or expenses to report for this period.
I affirm that I was not retained in connection with any legislation this period.

Income total: \$12,279.33

Name	Date	Amount
WAL-MART	01/01/2017	\$5,146.00
WORKERS COMPENSATION EDUCATION ASSN	01/01/2017	\$2,000.00
COLORADO HEALTH BENEFIT EXCHANGE	01/15/2017	\$1,800.00
PUB EMPLOYMENT RETIREMENT ASSN	01/15/2017	\$3,333.33

Subjects & Bills:

Bill #	Description	Client	Position
HB 1051	PROCUREMENT / TELECOMMUNICATIONS	CENTURY LINK	Monitoring
HB 1114	PERA	PUB EMPLOYMENT RETIREMENT ASSN	Opposing
HB 1119	WORKERS COMP	WORKERS COMPENSATION EDUCATION ASSN	Monitoring
SB 001	REGULATORY REFORM	COLORADO CONCERN	Supporting
SB 002	REGULATORY REFORM	COLORADO CONCERN	Supporting
SB 003	HEALTHCARE	COLORADO HEALTH BENEFIT EXCHANGE	Opposing
SB 042	TELECOMMUNICATIONS	CENTURY LINK	Opposing
SB 045	CONSTRUCTION DEFECTS	COLORADO CONCERN	Monitoring
SB 081	TELECOMMUNICATIONS	CENTURY LINK	Opposing
SB 088	HEALTHCARE	COLUMBINE HEALTH PLAN	Amending
SB 090	INDUSTRIAL HEMP	HOBAN & FEOLA, LLC	Monitoring
SB 109	INDUSTRIAL HEMP	HOBAN & FEOLA, LLC	Monitoring
SB 113	PERA	PUB EMPLOYMENT RETIREMENT ASSN	Opposing
SB 139	TAXATION	SMOKER FRIENDLY	Supporting
SB 143	LIQUOR	WAL-MART	Supporting
SB 151	HEALTHCARE	COLUMBINE HEALTH PLAN	Opposing

APPENDIX J



Welcome
ANGELA LAWSON

Waive Fines - Summary

[Lobbyist Main Page](#)

[Search by Lobbyist](#)

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Lobbyist ID: 20175003718

Lobbyist Name: AOK Strategies, LLC

Status: EXPIRED 06/30/2017 Disclosure Reporting Status: CURRENT

Accumulated Fines as of 08/28/2017

Total Fine Amount: \$920.00

* Reason:

(Will appear in history details)
(Max. of 250 characters)

Click on Report Date to view fines at daily level

Found 2 matching record(s). Viewing page 1 of 1.

Waive	Report Date	Report Type	Due Date	Filed Date	Fine Amount
<input type="checkbox"/>	01/20/17	Monthly	02/15/2017	03/15/2017	\$880.00
<input type="checkbox"/>	03/20/17	Monthly	04/17/2017	04/19/2017	\$40.00

[Previous Page](#)

[Waive Fines](#)

[Terms & conditions](#) | [Browser compatibility](#)

APPENDIX K

[Lobbyist Main Page](#)[Search by Lobbyist](#)[Login](#)

Lobbyist ID: 20175003718

AOK Strategies, LLC
151 S Humboldt Street
Denver CO 80209
United States

Registration ID: 20175003718

Registration Status: EXPIRED 06/30/2017
Disclosure Reporting Status: CURRENT

Reporting Year: 2017

Monthly Report Summary

Found 6 matching record(s). Viewing page 1 of 1.

Month	Income Received	Expenditures	Date Filed	Latest Amended Date
January	12 279 33	0 00	03/15/2017	04/20/2017
February	22 279 33	0 00	03/15/2017	04/20/2017
March	24 779 33	0 00	04/19/2017	06/13/2017
April	24 779 33	0 00	05/15/2017	06/13/2017
May	24 779 33	0 00	06/13/2017	
June	0 00	0 00	07/17/2017	

[Previous Page](#)[Terms & conditions](#) | [Browser compatibility](#)

CERTIFICATE OF MAILING

This is to certify that I have duly mailed the within **DESCRIPTION OF INVESTIGATION AND FINDINGS** with **APPENDICES** to the addresses below by depositing copies of the same in the United States mail, first class postage paid, at Denver, Colorado, **Thursday, September 7, 2017** addressed as follows:

Collon Kennedy
151 S. Humboldt
Denver, Colorado 80209

AOK Strategies LLC
151 S. Humboldt
Denver, Colorado 80209

Senator Irene Aguilar
3071 South Irving Street
Denver, Colorado 80236

A handwritten signature in black ink, appearing to read 'Andrea Gyger', is written over a horizontal line.

Andrea Gyger
Administrative Division
Colorado Department of State